



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

GRYGLEWICZ et al.

Serial No.: 09/710,443

Filed: November 10, 2000

Atty. File No.: 4367-1

For: "TRANSACTION TAX
COLLECTION SYSTEM AND
METHOD"

11/20/2001 MABDI1 00000039 09710443

01 FC:126

160.00 DP

Group Art Unit:

Examiner:

**INFORMATION DISCLOSURE
STATEMENT**

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS
BEING DEPOSITED WITH THE UNITED STATES POSTAL
SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE
ADDRESSED TO THE ASSISTANT COMMISSIONER OF
PATENTS, WASHINGTON, DC 20231 ON THIS 15 DAY OF
November, 2001.

SHERIDAN ROSS P.C.

BY:

Chasity C. Rossum
Chasity C. Rossum

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

The references cited on attached Form PTO-1449 are being called to the attention of the
Examiner. Copies of the cited references:

☒ Are enclosed herewith.

☐ Are not enclosed, in accordance with 37 C.F.R. 1.98(d), because the references
were submitted to the U.S. Patent and Trademark Office in prior application Serial No.
_____, titled _____, and
having a filing date of _____, which is relied upon for an earlier filing
date under 35 U.S.C. § 120

☐ To the best of applicants' belief, the pertinence of the foreign-language references
are believed to be summarized in the attached English abstracts and in the figures, although
applicants do not necessarily vouch for the accuracy of the translation.

☐ Examiner's attention is drawn to the following co-pending applications:
Serial No. _____ filed _____.

Submission of the above information is not intended as an admission that any item is
citable under the statutes or rules to support a rejection, that any item disclosed represents
analogous art, or that those skilled in the art would refer to or recognize the pertinence of any
reference without the benefit of hindsight, nor should an inference be drawn as to the pertinence
of the references based on the order in which they are presented. Submission of this statement
should not be taken as an indication that a search has been conducted, or that no better art exists.

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11/28/2001 MABDI1 0012465500
Ref: 09710443
Name/Number: 09710443
\$60.00 CR



It is respectfully requested that the cited information be expressly considered during the prosecution of this application and the references made of record therein.

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<input type="checkbox"/>	<p>No fee is believed due in connection with this submission, because the information disclosure statement submitted herewith is being filed:</p> <p><input type="checkbox"/> within three months of the filing date of the continued prosecution application or date of entry into the national stage of an international application or</p> <p><input type="checkbox"/> before the mailing date of a first Office Action on the merits, whichever occurs last. 37 C.F.R. 1.97(b).</p> <p>Although no fee is believed due, if any fee is deemed due in connection with this submission, please charge such fee to Deposit Account 19-1970.</p>
<input checked="" type="checkbox"/>	<p>The information disclosure statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in 37 C.F.R. 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever occurred last but before the mailing date of either:</p> <p>(1) a final action under 37 C.F.R. 1.113 or</p> <p>(2) a notice of allowance under 37 C.F.R. 1.311,</p> <p>whichever occurs first. This Information Disclosure Statement is accompanied by:</p> <p><input type="checkbox"/> A Certification (below) as specified by 37 C.F.R. 1.97(e). Although no fee is believed due, if any fee is deemed due in connection with this submission, please charge such fee to Deposit Account 19-1970.</p> <p>OR</p> <p><input checked="" type="checkbox"/> A check in the amount of \$240.00 for the fee set forth in 37 C.F.R. 1.17(p) for submission of an information disclosure statement. Please credit any overpayment or charge any underpayment to Deposit Account No. 19-1970.</p>
<input type="checkbox"/>	<p>This Information Disclosure Statement is being submitted after the mailing date of a final action under § 1.113 or a notice of allowance under § 1.311, but before payment of the issue fee.</p> <p><input type="checkbox"/> This information Disclosure Statement includes a Certification (below) as specified by 37 C.F.R. 1.97(e)</p> <p>AND</p> <p><input type="checkbox"/> Applicants hereby petition for consideration of the references disclosed herein. Enclosed is a petition fee in the amount of \$130.00 under 37 C.F.R. 1.17(i)(1). Please credit any overpayment or charge any underpayment to Deposit Account No. 19-1970.</p>
<input type="checkbox"/>	<p>Applicant elects to pay the fee set forth in 37 C.F.R. 1.17(p) for submission of an information disclosure statement, and the enclosed check includes \$240.00 for payment of such fee. Please credit any overpayment or charge any underpayment to Deposit Account No. 19-1970. Election to pay the fee should not be taken as an indication that applicant(s) cannot execute a certification.</p>



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Certification (37 C.F.R. 1.97(e))
(Applicable only if checked)

☐ The undersigned certifies that:

☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. 1.97(e)(1).

☐ A copy of the communication from the foreign patent office is enclosed.

OR

☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. 1.97(e)(2).

Respectfully submitted,

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By: 

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